JC03 Rec'd PCT/PTO 29 APR 2009

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

3204-02

J.S. APPLICATION NO. (If known, see 37 CFR 1.5)

PCT/US2003/034851 28 October 2003 (28.10.200 TITLE OF INVENTION FIBER DISPERSANT-CONTAINING SYSYEMS

A copy of the International Application as filed (35 U.S.C. 371(c)(2))

An Application Data Sheet under 37 CFR 1.76.

A power of attorney and/or change of address letter.

A substitute specification.

has been communicated by the International Bureau.

INTERNATIONAL APPLICATION NO.

APPLICANT(S) FOR DO/EO/US THE LUBRIZOL CORPORATION; MANKA, John S. and LESNIEWSKI, John M.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.

2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.

3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.

4. The US has been elected (Article 31).

is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4).  $|\mathbf{X}|$ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment.

is attached hereto (required only if not communicated by the International Bureau).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

Page 1 of 2

Other items or information: Form PTO-1449 IDS, foreign & other cited art, and Certification Under 37 CFR 1.10

## JC14 Rec'd PCT/PTO 29 APR 2005

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)			5) INTERNATIONAL AI	INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/533409			PCT/US200	PCT/US2003/034851		3204-02	
The following fees have been submitted					CALCULATIONS	PTO USE ONLY	
21. 🔀 Basi	c national fee	·		\$300	\$ 300.00		
22. X Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100  All other situations\$200					s 200.00		
23. X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					500.00		
TOTAL OF 21, 22 and 23 =					\$ 1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets		ch additional 50 or fraction up to a whole number)	RATE			
22 - 100 =	-78 <sub>/50</sub>	=		x \$250	\$ 0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$ 0.00		
CLAIMS	NUM	BER FILED	NUMBER EXTRA	RATE	\$		
Total claims		20 - 20 =	0	x \$ 50	\$ 0.00		
Independent clair	ns	4 -3=	1	x \$200	\$ 200.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$ 0.00		
TOTAL OF ABOVE CALCULATIONS =					\$ 1,200.00	-	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					0.00		
SUBTOTAL =					\$ 1,200.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$ 0.00		
TOTAL NATIONAL FEE =					\$ 1,200.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$	0.00	
TOTAL FEES ENCLOSED =					\$	1,200.00	
					Amount to be refunded:	\$	
					Amount to be charged:	\$	
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. $X$ Please charge my Deposit Account No. $12-2275$ in the amount of \$ $1,200.00$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-2275 . A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL COR	RESPONDENCE	13×3					
Jason S. Fok	ens	7300	<del></del>				
The Lubrizol Corporation  Patent Dept (Mail Drap 022P)  Jason S. Fok					enc		
NAME					C113		
29400 Lakeland Blvd. Wickliffe OH 44002 2208 56,188							
Wickliffe, OH 44092-2298  REGISTRATI					ON NUMBER		

## **90/533409** JC14 Rec'd PCT/PTO 29 APR 2005

## **CERTIFICATION UNDER 37 CFR 1.10**

Docket No. 3204-02

INTERNATIONAL APPLICATION NO. PCT/US2003/034342

INTERNATIONAL FILING DATE:

28 October 2003

PRIORITY DATE CLAIMED:

30 October 2002

TITLE OF INVENTION:

APPLICANTS:

Fiber Dispersant-Containing Systems THE LUBRIZOL CORPORATION;

MANKA, John S.; LESNIEWSKI, John M.

ER793757275US

Express Mail mailing number

4-29-05

Date of Deposit

I hereby certify that the Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 and the documents listed below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

Transmittal Letter (in duplicate)
Information Disclosure Statement
Form PTO-1449 IDS
Copies of Foreign and "Other" Cited Art listed on Form PTO-1449 IDS
Return Receipt Postcard

Signature of Person Mailing Correspondence

Nancy S. Dedek

Typed or printed name of person mailing correspondence